



Atty. Dkt. No. 051874-0113

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TC 2800 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: William D. MEADOW et al.

Title: CREATING COUNTERFEIT-
RESISTANT SELF-
AUTHENTICATING DOCUMENTS
USING CRYPTOGRAPHIC AND
BIOMETRIC TECHNIQUES

Appl. No.: 09/987,009

Filing Date: 11/13/2001

Examiner: Unassigned

Art Unit: 2876

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.



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TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By 

Date February 20, 2002

FOLEY & LARDNER
Customer Number: 22428



22428

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Form PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

051874-0113

SERIAL NO.

09/987,009

APPLICANT

William D. MEADOW et al

FILING DATE

11/13/2001

GROUP ART UNIT

2876

INFORMATION DISCLOSURE CITATION

FEB 20 2002

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A1	6,170,744 B1	01/09/2001	Lee et al.	235	380	
	A2	09/859,356		Meadow et al.			05/18/2001
	A3	09/901,124		Meadow et al.			07/10/2001
	A4	09/976,056		Meadow et al.			10/15/2001

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A5	Digital Signature Standard (DSS). National Institute of Standards and Technology. 19 May 1994 < http://www.itl.nist.gov/fipspubs/fip186.htm >.
	A6	ELGAMAL, T. "A Public Key Cryptosystem and a Signature Scheme Based on Discrete Logarithms," <i>IEEE Transactions on Information Theory</i> (July 1985), Vol. IT-31, No. 4, pp. 469 – 472.
	A7	Public-Key Infrastructure (X.509) (pkix). The Internet Engineering Task Force. 11 January 2002. < http://www.ietf.org/html.charters/pkix-charter.html >, 4 pp.

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.